



CTBC Financial Holding Co., Ltd. Sustainable Finance Policy Version 2.0

CTBC Financial Holding Co., Ltd.

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Version history

| Version | Effective date | Details | Author | Remarks |
|---------|----------------|------------------------------------------------------------------------------------------------------------|-------------------------------------|---------|
| 1.0 | Dec. 25, 2020 | Policy formulation | Corporate Sustainability Office | |
| 2.0 | Jun. 30, 2023 | Formulation and amendment of articles on the group's net-zero emissions goal and implementation principles | Corporate Sustainability Department | |
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CTBC Financial Holding Co., Ltd. Sustainable Finance Policy

Article 1 Formulation basis and objectives

CTBC Financial Holding Co., Ltd. (hereinafter, “CTBC Holding” or “the Company”) commits to complying with international sustainable finance initiatives and relevant policies of the competent authorities in order to pursue business growth and to practice the U.N.’s Sustainable Development Goals (SDGs). The Company shall actively leverage its core businesses to realize a positive impact on global sustainable development, including supporting financial inclusion and driving the development of a low-carbon economy in order to work toward net-zero emissions by 2050. In accordance with CTBC Holding’s Sustainability Management Policy, the CTBC Holding Sustainable Finance Policy (hereinafter, “the Policy”) is hereby formulated.

Article 2 Scope

The applicable scope of the Policy is the Company and its subsidiaries.

Article 3 Implementation principles

The implementation principles of the Policy are as follows:

I. Actively support and advocate for sustainable finance

The Company shall stay informed on the latest international sustainable finance initiatives and trends in the development of sustainable policies by the competent authorities. Where doing so is practical in terms of the Company’s business interests and resources, the Company or subsidiaries with relevant business shall proactively and publicly join relevant initiatives.

II. Focus on creating positive impacts related to the SDGs and the climate

In support of the SDGs, the Company’s sustainable finance actions shall take into consideration ESG-related risks and whether any controversies surround the products, services, or operations of transaction counterparties or targets. In addition, the Company shall assess risks and



opportunities related to the climate, forests, water, biodiversity, and human rights aspects of the SDGs as well as exert a positive impact in order to effectively promote sustainable development.

III. Drive the low-carbon economy and work toward net-zero emissions by 2050

To achieve the Company's Science Based Targets reduction targets and the net-zero emissions by 2050 goal, the Company shall continue to develop green financial products and services and to actively undertake engagements with customers to facilitate their low-carbon transition.

During business development, the Company shall pay close attention to any impact caused by its investing and financing activities and shall actively assess relevant risks and opportunities.

IV. Integrate group resources and expand business synergies

Subsidiaries shall, according to their individual business characteristics, establish and integrate policies and management methods that take into account the aforementioned ESG and SDG considerations, and they shall conduct internal awareness raising, provide education and training, and implement relevant processes. In addition, subsidiaries shall actively provide resources including sales channels in order to enhance the group's business and sustainability synergies.

Article 4 Information disclosure

The promotion, planning, and progress of the Policy will be disclosed in the Chinese and English versions of the Company's annual Sustainability Report, prepared in accordance with widely accepted international standards or guidelines and available on the Corporate Sustainability section of the Company's website. This information will also be disclosed in relevant Company and subsidiary publications and as required by the competent authorities.

Article 5 The Policy, and any amendment thereto, shall take effect upon approval by the Board of Directors.